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1C 2800 MAIL ROOM

2823

TRANSMITTAL LETTER			Case No. 9076/468
Serial No. 09/728,554	Filing Date December 7, 2000	Examiner To Be Assigned	Group Art Unit 2818
Inventor(s) Shields et al.			
Title of Invention A DUAL SPACER PROCESS FOR NON-VOLATILE MEMORY DEVICES			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Transmittal Letter (in duplicate); Submission of Formal Drawings; 1 Sheet of Formal Drawings; and White Acknowledgement Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		Other Than Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x 40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
					Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

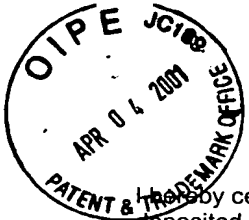
*David Rozenblat*

David Rozenblat  
Registration No. 47,044  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 4/2/2001.

Date: 4/2/2001 Signature: *David Rozenblat*



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 4/2/2001  
Date of Deposit

David Rozenblat, Reg. No. 47,044

Name of Applicant, Assignee or  
Registered Representative

David Rozenblat  
Signature

4/2/2001

Date of Signature

Our Case No. 9076/468

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Shields et al.

Serial No. 09/728,554

Filing Date: December 1, 2000

For A DUAL SPACER PROCESS FOR  
NON-VOLATILE MEMORY  
DEVICES

Examiner: To Be Assigned

Group Art Unit No. 2818

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TC 2800 MAIL ROOM

**SUBMISSION OF FORMAL DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsman

Dear Sir:

Please substitute the enclosed 1 sheet of formal drawings for the previously submitted informal drawings.

Respectfully submitted,

David Rozenblat

David Rozenblat, Reg. No. 47,044  
Attorney for Applicants

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